

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. AUS920030562US1

In re Application of:

DON DAY, ET AL.

Serial No.: 10/631,059

Filed: JULY 31, 2003

For: **METHOD, SYSTEM AND
PROGRAM PRODUCT FOR
PROVIDING AUTOMATED SENDER
STATUS IN A MESSAGING SESSION**

§
§
§ Examiner: **Not yet assigned**
§
§
§ Art Unit: 2171
§
§
§
§
§

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.97(b)

Commissioner for Patents
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Alexandria, Virginia 22313-1450

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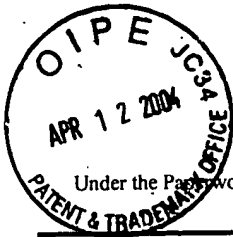
Applicants request that the information listed on the attached (Substitute) Form PTO-1449 be considered by the Office during the pendency of the above-entitled application, pursuant to 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97 (b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee or certification is required.

No fee is believed to be necessary; however, in the event that any fee is required, please charge it to **IBM Corporation's Deposit Account Number 09-0447**.

Respectfully submitted,

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ATTORNEY FOR APPLICANT(S)



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Application Number	10/631,059
Filing Date	July 31, 2003
First Names Inventor	Don Day, et al.
Art Unit	2171
Examiner Name	Not yet assigned
Attorney Docket Number	AUS920030562US1

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☐ Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ Attorney or Agent of record. Registration Number 39,083.

☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration.
See 37 CFR 1.33(a)(1). Registration Number _____.

Typed or Printed Name Craig J. Yudell

Signature 

Date 04-07-2004

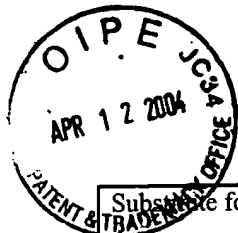
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NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

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This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substantive form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known	
				Application Number	10/631,059
				Filing Date	07-31-2003
				First Named Inventor	Don Day, et al.
				Group Art Unit	2171
				Examiner Name	Not yet assigned
Sheet	1	of	1	Attorney Docket Number	AUS920030562US1
U.S. PATENT DOCUMENTS					
Examiner Initials	IDS Doc #	U.S. Patent Document Number	Name of Patentee or Applicant		Publication Date MM-DD-YYYY
		US 6338044 B1	COOK, ET AL.		01-08-2002
		US 6330554 B1	ALTSCHULER, ET AL.		12-11-2001
		US 6301609 B1	ARAVAMUDAN, ET AL.		10-09-2001
		US 6205432 B1	GABBARD, ET AL.		05-20-2001
		6119101	PECKOVER		09-12-2000
		US2002/0026351 A1	COLEMAN		02-28-2002
		US2002/0048349 A1	BIXLER, ET AL.		04-25-2002
		US2002/0059590 A1	KITSUWAKA, ET AL.		05-16-2002
		US2002/0046099 A1	FRENGUT, ET AL.		04-18-2002
FOREIGN PATENT DOCUMENTS					
Examiner Initials	IDS Doc #	Office	Foreign Patent Document No.	Name of Patentee or Applicant	Date of Publication
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	IDS Doc #	Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			English Translation
		U.S. Patent Application Serial No. 09/294,358, filed April 20, 1999, titled "Buddy List for Telephone Network"			
		U.S. Patent Application Serial No. 10/237,650, filed September 9, 2002, titled "Instant Messaging with Caller Identification"			
		U.S. Patent Application Serial No. 10/347,095, filed January 18, 2003, titled "Instant Messaging System with Privacy Codes"			
		U.S. Patent Application Serial No. 10/347,087, filed January 18, 2003, titled "Method and System for Publication of Instant Messaging Privacy Codes"			
		U.S. Patent Application Serial No. 10/411,468, filed April 10, 2003, titled "User Control of Off-Line Messaging"			
		U.S. Patent Application Serial No. 10/411,412, filed April 10, 2003, titled "Timing of Off-Line Messaging"			
		U.S. Patent Application Serial No. 10/411,416, filed April 10, 2003, titled "Authorized Access to Off-Line Messaging"			
Examiner Signature					Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant



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Typed or Printed Name: SHENISE RAMDEEN

Signature: Shenise Ramdeen

Date: 04-07-2004

Application Serial No. 10/631,059